

Research on integrative strategies in climate change policy: a comment

A contribution to the kick-off event at the
Canadian Institute for climate choices

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Eric Pineault

My intervention is based on the framing document and more specifically on the questions asked at the end:

- What are the advantages, if any, in linking technological, behavioural and financial issues? How do these advantages differ among sectors?
- Given that these questions are often managed in different ministries and even at different levels of government, what is the impact on policy development?
- How can a strategic approach be employed to integrate these three dimensions to ensure the successful operationalization of climate objectives?
- What are the main behavioural barriers to the widespread adoption of climate mitigation technology, and how can policy design ensure that these are overcome in a sustainable manner?
- What are some emerging behavioural trends that could leverage the adoption of climate mitigation technology?
- How can policy be made resilient so as to be reactive to disruptive technologies?
- What is needed to make private investment better aligned with climate targets?
- How can technological, behavioural and financial dimensions be integrated to address the challenges of national mitigation policies targeting the natural resources sector?

Outline

1. Questioning some preconceptions of the framework
2. How do analyze linkages between technology, behaviour, finance:
3. How do we approach barriers to behavioural change ?
4. The big question of the natural resources sector and its impact on climate change policy ?

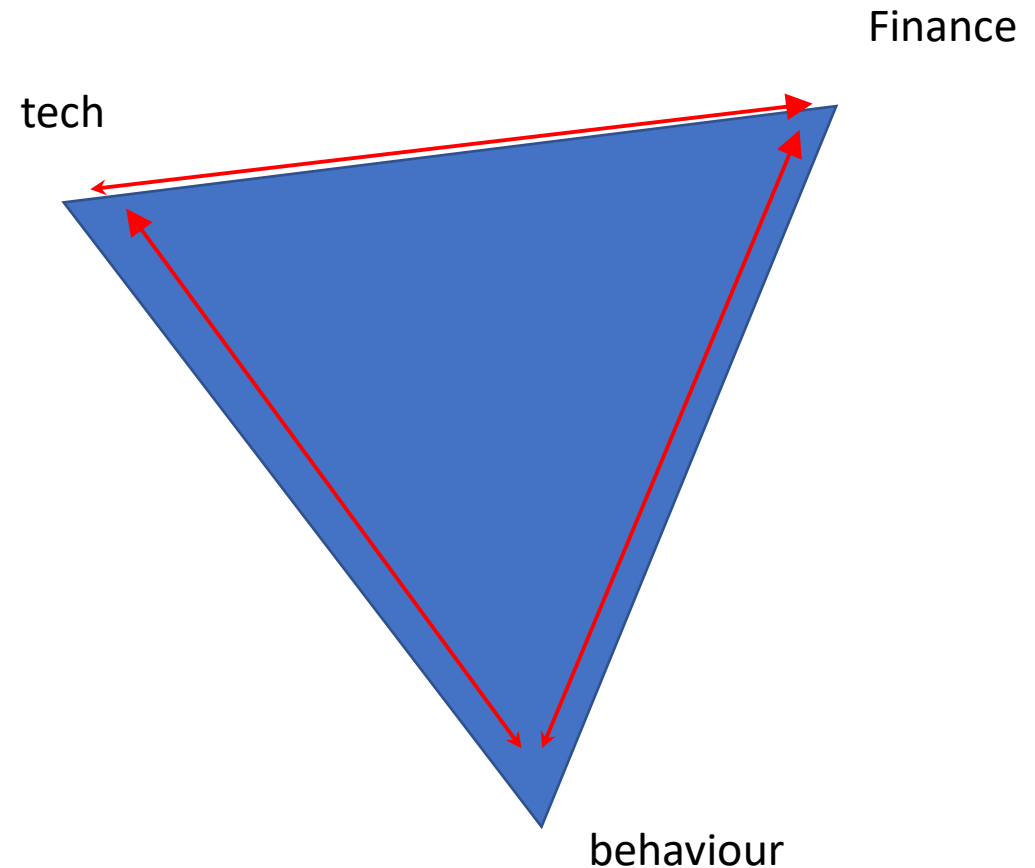
Questioning some *aprioris* and preconceptions of the framework

- Idea is to make sure our box is not too small
 - Open up questions to wider questions
1. Cornucopian biases
 2. Engineering biases
 3. The conceptual status of « clean growth », climate science or political discourse ?
 4. Research horizon
 - Act upon climate change ?
 - Act upon existing climate policies ?

Capellán-Pérez, I., de Castro, C., & Miguel González, L. J. (2019). Dynamic Energy Return on Energy Investment (EROI) and material requirements in scenarios of global transition to renewable energies. *Energy Strategy Reviews*, 26, 100399. <https://doi.org/10.1016/j.esr.2019.100399>

How do analyze linkages between technology, behaviour, finance

1. Linkages: tabula rasa or existing links ?
2. Linkages as power structures
3. Relationship between policy – research and power structures
4. How do social movements relate to these structures



Barriers

Classical study of lock-in effects and structures

- Complexity of lock-in
 - Ideational
 - Material
- Lock-in structures
 - Social
 - Cultural
 - Political and economic
 - Cognitive

Seto, K. C., Davis, S. J., Mitchell, R. B., Stokes, E. C., Unruh, G., & Urge-Vorsatz, D. (2016). Carbon Lock-In: Types, Causes, and Policy Implications. *Annual Review of Environment and Resources*, (41), 425–452.
<https://doi.org/10.1146/annurev-environ-110615-085934>

Table 1 Summary of three types of carbon lock-in and their key characteristics

Lock-in type	Key characteristics
Infrastructural and technological	<ul style="list-style-type: none"> ■ Technological and economic forces lead to inertia ■ Long lead times, large investments, sunk costs, long-lived effects ■ Initial choices account for private but not social costs and benefits ■ Random, unintentional events affect final outcomes (e.g., QWERTY)
Institutional	<ul style="list-style-type: none"> ■ Powerful economic, social, and political actors seek to reinforce status quo that favors their interests ■ Institutions are designed to stabilize and lock in ■ Beneficial and intended outcome for some actors ■ Not random chance but intentional choice (e.g., support for renewable energy in Germany)
Behavioral	<ul style="list-style-type: none"> ■ Lock-in through individual decision making (e.g., psychological processes) ■ Single, calculated choices become a long string of noncalculated and self-reinforcing habits ■ Lock-in through social structure (e.g., norms and social processes) ■ Interrupting habits is difficult but possible (e.g., family size, thermostat setting)

Natural resource sector

1. Fossil fuel sector

- A growth coalition
- Business interdependencies
- Active engagement of fossil fuel sector with climate policy
 1. Denial/resistance
 2. Accomodation and mitigation of impact
 3. Agressive redefinition

2. Other sectors (mining, bioeconomy)